



April 16, 2020

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No. C/1, 'G' Block,
Bandra-Kurla Complex,
Bandra (East), Mumbai – 400 051

Dear Sir,

Sub.: Certificate of Compliance under Regulation 40(9) and 40(11) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020

Ref.: Tata Cleantech Capital Limited

Pursuant to Regulations 40(9) and 40(11) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, please find enclosed the Certificate obtained from a Practicing Company Secretary certifying the compliance under the aforesaid regulations, for the half year ended March 31, 2020.

Request you to please take the same on record.

Please note that due to COVID-19 issue, we are unable to execute a signed copy of the letter, therefore, request you to consider the letter as sufficient compliance.

Thanking you,
Yours faithfully

For Tata Cleantech Capital Limited

Sd/-

Manish Parikh
Company Secretary

Encl: As above

TATA CLEANTECH CAPITAL LIMITED

Corporate Identification Number U65923MH2011PLC222430

11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

Tel 91 22 6606 9000 Web www.tatacapital.com

Registered Office 11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office

111, 11th Floor, Sai-Dwar CHS Ltd
Sab TV Lane, Opp Laxmi Industrail Estate,
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400053
Tel No 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40 (9)
OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31st MARCH, 2020**

Based on the Information/details provided to us by Tata Cleantech Capital Limited ("the Company"), we hereby certify that since all the Debentures issued by the Company are in Demat form, no physical debenture certificates were required to be delivered during the period from 01st October, 2019 to 31st March, 2020 pursuant to Regulation 61(4) read with Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015.

For Parikh & Associates

Place: Mumbai
Date: April 14, 2020

Name of Company Secretary: **P.N. Parikh**
FCS: 327 CP: 1228
UDIN: F000327B000156076