



April 14, 2023

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No. C/1, 'G' Block,
Bandra-Kurla Complex,
Bandra (East), Mumbai – 400 051

Dear Sir,

Sub.: Certificate of Compliance under Regulation 40(9) and 40(11) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023

Ref.: Tata Cleantech Capital Limited

Pursuant to Regulations 40(9) and 40(11) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, please find enclosed the Certificate obtained from a Practicing Company Secretary certifying the compliance under the aforesaid regulations, for the year ended March 31, 2023.

Request you to please take the same on record.

Thanking you,

Yours faithfully,

For **Tata Cleantech Capital Limited**

RAJESH
DEVIDAS GOSIA

Digitally signed by
RAJESH DEVIDAS GOSIA
Date: 2023.04.14 15:03:47
+05'30'

Rajesh Gosia
Company Secretary

Encl: As above

TATA CLEANTECH CAPITAL LIMITED

Corporate Identification Number U65923MH2011PLC222430

11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

Tel 91 22 6606 9000 Web www.tatacapital.com

Registered Office 11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013



CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH, 2023

Based on the Information/details provided to us by Tata Cleantech Capital Limited (“the Company”), we hereby certify that since all the Debentures were issued by the Company in Demat form, no physical debenture certificates were required to be delivered for the year ended 31st March, 2023 pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015.

For **Parikh & Associates**

Pravinchandra
Nahalchand Parikh

Digitally signed by Pravinchandra Nahalchand Parikh
DN: c=IN, o=Personal, title=5274,
pseudonym=F10EC2DFFD5F1B59115F8D3A068F04F78ED98B2,
2.5.4.20=0.2a59473a94d87ecbd0f0a8e3ad70000b1e65d50bb138b
26dc0c49693B6c1, postalCode=400104, st=Maharashtra,
serialNumber=E6A5DFE9F9F1E9732378E198497C98E1A9A5543AAF
AE294FE7C9880C5E69C2FC, cn=Pravinchandra Nahalchand Parikh
Date: 2023.04.13 13:15:24 +05'30'

Place: Mumbai
Date: April 13, 2023

Name of Company Secretary: **P.N. Parikh**
FCS: 327 CP: 1228
Peer Review No: 1129/2021
UDIN: F000327E000082970