

TATA SECURITIES LTD								
DP ID :- 54600								
Annexure C								
Format for Investor Complaints Data to be displayed by Depository								
Participants on their respective websites								
Data for every month ending October 2023								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly From Investors	2	0	2	0	0	2	Pending with court
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grant Total	2	0	2	0	0	2	2
Trend of monthly disposal of complaints								
SN	Month	Carried forward from previous month	Received	Resolved*	Pending**			
1	2	3	4	5	6			
1	Apr-23	2	0	0	2			
2	May-23	2	0	0	2			
3	Jun-23	2	0	0	2			
4	Jul-23	2	0	0	2			
5	Aug-23	2	0	0	2			
6	Sept-23	2	0	0	2			
7	Oct-23	2	0	0	2			

	Grand Total	2	0	0	2
*Should include complaints of previous months resolved in the current month, if any.					
**Should include total complaints pending as on the last day of the month, If any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.					
<u>Trend of annual disposal of complaints</u>					
SN	Year	Carried forward from previous Year	Received during the Year	Resolved during the Year	Pending at the end of the Year
1	2018-19	0	2	2	0
2	2019-20	0	4	3	1
3	2020-21	1	1	2	0
4	2021-22	0	3	1	2
5	2022-23	2	2	2	2
	Grand Total	3	12	10	2