



April 24, 2023

**To,**  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai – 400 001

**To,**  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051

Dear Sir,

**Ref : TATA CAPITAL FINANCIAL SERVICES LIMITED**  
**Sub : Compliance Certificate for the year ended March 31, 2023 under Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulations 7(2) and 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all the activities in relation to both physical and electronic share transfer facility for the Non-Convertible Debentures issued to the Public by Tata Capital Financial Services Limited are maintained by Kfin Technologies Limited (CIN – L72400TG2017PLC11764) having its Registered Office at Selenium, Tower B, Plot No 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad – 500 032, the Registrar and Transfer Agent.

We request you to please take the same on record.

**For Tata Capital Financial Services Limited      For Kfin Technologies Limited**

SONALI  
BHUSHAN  
PUNEKAR

Digitally signed by  
SONALI BHUSHAN  
PUNEKAR  
Date: 2023.04.24  
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K V S Gopala  
Krishna

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V S Gopala Krishna  
Date: 2023.04.24  
14:22:39 +05'30'

**Sonali Puneekar**  
**Company Secretary**

**K V S Gopala Krishna**  
**AGM - Bonds**

**TATA CAPITAL FINANCIAL SERVICES LIMITED**

Corporate Identity Number U67100MH2010PLC210201

11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

Tel 91 22 6606 9000 Web [www.tatacapital.com](http://www.tatacapital.com)

Registered Office 11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013



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Dear Sir,

**Ref : TATA CAPITAL FINANCIAL SERVICES LIMITED**  
**Sub : Compliance Certificate for the year ended March 31, 2023 under Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulations 7(2) and 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all the activities in relation to both physical and electronic share transfer facility for the Non-Convertible Debentures issued on a private placement basis by Tata Capital Financial Services Limited are maintained by TSR Consultants Private Limited (CIN – U74999MH2018PTC307859) having its Registered Office at C-101, 1<sup>st</sup> Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli West, Mumbai 400083, the Registrar and Transfer Agent.

We request you to please take the same on record.

**For Tata Capital Financial Services Ltd**

**For TSR Consultants Private Ltd**

SONALI  
BHUSHAN  
PUNEKAR

Digitally signed by SONALI  
BHUSHAN PUNEKAR  
Date: 2023.04.24 15:14:18  
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VIDYA UDAY  
BRAHME

Digitally signed by VIDYA  
UDAY BRAHME  
Date: 2023.04.24 13:17:04  
+05'30'

**Sonali Punekar**  
**Company Secretary**

**Vidya Brahme**  
**Chief Manager – MCA**

**TATA CAPITAL FINANCIAL SERVICES LIMITED**

Corporate Identity Number U67100MH2010PLC210201

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