

**FORM NO. MGT-7**

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

**Annual Return**

Form language  English  Hindi

Refer the instruction kit for filing the form.

**I. REGISTRATION AND OTHER DETAILS**

(i) \* Corporate Identification Number (CIN) of the company

U65923MH2011PLC222430

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAECT0148D

(ii) (a) Name of the company

TATA CLEANTECH CAPITAL LIA

(b) Registered office address

11th Floor, Tower A, Peninsula Business Park  
Ganpatrao Kadam Marg, Lower Parel  
Mumbai  
Mumbai City  
Maharashtra  
400012

(c) \*e-mail ID of the company

gosia.rajesh@tatacapital.com

(d) \*Telephone number with STD code

02266069000

(e) Website

www.tatacapital.com

(iii) Date of Incorporation

27/09/2011

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

U74999MH2018PTC307859

Pre-fill

Name of the Registrar and Transfer Agent

TSR DARASHAW CONSULTANTS PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

(f) Specify the reasons for not holding the same

AGM will be held on June 23, 2021

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	TATA CAPITAL LIMITED	U65990MH1991PLC060670	Holding	80.5

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

**(i) \*SHARE CAPITAL****(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000,000	388,015,639	388,015,639	388,015,639
Total amount of equity shares (in Rupees)	5,000,000,000	3,880,156,390	3,880,156,390	3,880,156,390

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	500,000,000	388,015,639	388,015,639	388,015,639
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in Rupees)	5,000,000,000	3,880,156,390	3,880,156,390	3,880,156,390

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in Rupees)	0	0	0	0

Number of classes

0

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in Rupees)	0	0		

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of Unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	Demat	Total			
Equity Shares						
At the beginning of the year	0	388,015,639	388,015,639	3,880,156,390	3,880,156,390	

<b>Increase during the year</b>	0	0	0	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify – NA	0	0	0	0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify - NA	0	0	0	0	0	
<b>At the end of the year</b>	0	388,015,639	388,015,639	3,880,156,390	3,880,156,390	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify - NA	0	0	0	0	0	
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify - NA	0	0	0	0	0	
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the Equity Shares of the Company

INE857Q01011

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of Shares		(i)	(ii)	(iii)
<b>Before Split / Consolidation</b>	Number of Shares			
	Face value per share			
<b>After Split / Consolidation</b>	Number of Shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)\*

Nil

[Details being provided in a CD/Digital Media  Yes  No  Not Applicable

Separate sheet attached for details of transfers  Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

<b>Date of the previous annual general meeting</b>		17/08/2020	
<b>Date of Registration of Transfer</b>		28/08/2020	
<b>Type of Transfer</b>	Equity Shares	1- Equity, 2- Preference Shares, 3- Debentures, 4- Stock	
<b>Number of Shares/ Debentures/ Units Transferred</b>	1	<b>Amount per Share/ Debenture/Unit (in Rs.)</b>	10
<b>Ledger Folio of Transferor</b>	1205460000256351		

<b>Transferor's Name</b>	Mr. Pradeep .C. Bandivadekar	Jointly with	Tata Capital Limited
	Surname	Middle Name	First Name
<b>Ledger Folio of Transferee</b>	1205460000257108		
<b>Transferee's Name</b>	Mr. Rakesh Jagdish Bhatia	Jointly with	Tata Capital Limited
	Surname	Middle Name	First Name

<b>Date of Registration of Transfer</b>	23/10/2020		
<b>Type of Transfer</b>	Equity Shares	1- Equity, 2- Preference Shares, 3- Debentures, 4- Stock	
<b>Number of Shares/ Debentures/ Units Transferred</b>	1	<b>Amount per Share/ Debenture/Unit (in Rs.)</b>	10
<b>Ledger Folio of Transferor</b>	1205460000256366		
<b>Transferor's Name</b>	Mr. Vittaldas Leeladhar	Jointly with	Tata Capital Limited
	Surname	Middle Name	First Name
<b>Ledger Folio of Transferee</b>	1205460000256332		
<b>Transferee's Name</b>	Mr. Rajiv Inderjit Sabharwal	Jointly with	Tata Capital Limited
	Surname	Middle Name	First Name

<b>Date of Registration of Transfer</b>	16/12/2020		
<b>Type of Transfer</b>	Equity Shares	1- Equity, 2- Preference Shares, 3- Debentures, 4- Stock	
<b>Number of Shares/ Debentures/ Units Transferred</b>	1	<b>Amount per Share/ Debenture/Unit (in Rs.)</b>	10
<b>Ledger Folio of Transferor</b>	1205460000222522		

<b>Transferor's Name</b>	Ms. Avan Kayomars Doomasia	Jointly with	Tata Capital Limited
	Surname	Middle Name	First Name
<b>Ledger Folio of Transferee</b>	1205460000222541		
<b>Transferee's Name</b>	Ms. Sarita Ganesh Kamath	Jointly with	Tata Capital Limited
	Surname	Middle Name	First Name

<b>Date of Registration of Transfer</b>			
<b>Type of Transfer</b>		1- Equity, 2- Preference Shares, 3- Debentures, 4- Stock	
<b>Number of Shares/ Debentures/ Units Transferred</b>		<b>Amount per Share/ Debenture/Unit (in Rs.)</b>	
<b>Ledger Folio of Transferor</b>			
<b>Transferor's Name</b>			
	Surname	Middle Name	First Name
<b>Ledger Folio of Transferee</b>			
<b>Transferee's Name</b>			
	Surname	Middle Name	First Name

(iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	29,384	Refer attached debt structure	17,068,400,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			17,068,400,000

Details of Debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	14,718,400,000	2,350,000,000	0	17,068,400,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value each Unit	Total Paid up Value
Total					0

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

6,686,840,141

(ii) Net worth of the Company

11,508,619,155

VI. (a) \*SHARE HOLDING PATTERN – Promoters

S. No.	Category	Equity	Preference
--------	----------	--------	------------



		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	312,352,590	80.5	0	
10.	Others	0	0	0	
	<b>Total</b>	312,352,590	80.5	0	0

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others – Multilateral Financial Institution	75,663,049	19.5	0	
	<b>Total</b>	75,663,049	19.5	0	0

Total number of shareholders (other than promoters)

1

Total number of shareholders (Promoters + Public / Other than promoters)

8

(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company

0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

## VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### (A) \*Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-Executive	Executive	Non-Executive	Executive	Non-Executive

<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	5	1	3	0	0
(i) Non-Independent	1	3	1	1	0	0
(ii) Independent	0	2	0	2	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	3	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

6

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Ms. Varsha Purandare	05288076	Additional director	0	
Ms. Padmini Khare Kaic	00296388	Director	0	
Mr. Rajiv Sabharwal	00057333	Director	0	
Mr. Manish Chourasia	03547985	Managing Director	0	
Mr. Behzad Bhesania	AIBPB1295M	CFO	0	
Mr. Rajesh Gosia	ASDPG1519K	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
r. Pradeep C. Bandivada	00059330	Director	30/06/2020	Cessation
Dr. Ajay Mathur	07490468	Director	16/02/2021	Cessation
Mr. Manish Parikh	AMQPP5959H	Company Secretary	31/08/2020	Cessation
Mr. Rajesh Gosia	ASDPG1519K	Company Secretary	01/02/2021	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	17/08/2020	8	6	75

### B. BOARD MEETINGS

\*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/06/2020	6	5	83.33
2	20/06/2020	6	6	100
3	17/08/2020	5	5	100
4	01/09/2020	5	5	100
5	06/11/2020	5	5	100
6	12/01/2021	5	3	60
7	01/02/2021	5	5	100

### C. COMMITTEE MEETINGS

Number of meetings held

34

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	20/06/2020	3	3	100
2	Audit Committee	01/09/2020	3	3	100
3	Audit Committee	06/11/2020	3	3	100
4	Audit Committee	01/02/2021	3	3	100
5	Nomination and Remuneration	19/06/2020	3	3	100
6	Nomination and Remuneration	02/07/2020	3	3	100
7	Nomination and Remuneration	01/03/2021	3	3	100
8	Corporate Social Responsibility	10/08/2020	4	4	100
9	Corporate Social Responsibility	25/03/2021	4	4	100
10	Information Technology	03/09/2020	5	5	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
		(Y/N/NA)						
1	Ms. Varsha Patil	7	6	85.71	34	31	91.18	
2	Ms. Padmini Kulkarni	7	7	100	26	23	88.46	
3	Mr. Rajiv Sabnis	7	6	85.71	34	33	97.06	
4	Mr. Manish Chourasia	7	7	100	27	27	100	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Mr. Manish Chourasia	Managing Director	25,668,762		5,581,510	2,024,175	33,274,447
	Total		25,668,762		5,581,510	2,024,175	33,274,447

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Behzad Bhesania	Chief Financial Officer	5,612,204			387,396	5,999,600
2	Mr. Rajesh Gosia	Company Secretary	28,312			12,751	41,063
	Total		5,640,516	0	0	400,147	6,040,663

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ms. Varsha Purandare	Independent Director	0	1,500,000		860,000	2,360,000
2	Ms. Padmini Khare	Independent Director	0	1,500,000		730,000	2,230,000
3	Dr. Ajay Mathur	Non-Executive Director	0	1,375,000		180,000	1,555,000
	Total		0	4,375,000	0	1,770,000	6,145,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Anuja Shah

Whether associate or fellow

Associate  Fellow

Certificate of practice number

21367

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..

7

dated

01/02/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

03547985

**To be digitally signed by**

Company Secretary

Company secretary in practice

Membership number

52052

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

--

<b>Remove attachment</b>
--------------------------

---

Modify
--------

Check Form
------------

Prescrutiny
-------------

Submit
--------

---

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**