



April 12, 2022

BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai – 400 001

Dear Sir,

**Sub: Certificate of Compliance under Regulation 40(9) and 40(11) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2022**

**Ref: Tata Capital Financial Services Limited**

Pursuant to Regulations 40(9) and 40(11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached the Certificate obtained from a Practicing Company Secretary certifying the compliance under the aforesaid regulations, for the year ended March 31, 2022.

Request you to please take the same on record.

Thanking you,

Yours faithfully,  
For Tata Capital Financial Services Limited

**SONALI  
BHUSHAN  
PUNEKAR**

Digitally signed by SONALI BHUSHAN PUNEKAR  
DN: cn=IN, o=Personal,  
pseudonym=be516e6c3a6b0bbe79186c3ba8ec0aac  
29dc66395b4615dcbad69a05bd10aee2,  
postalCode=400080, st=MAHARASHTRA,  
serialNumber=46694088e0393978bdf44bebca3ac26c  
f69bb5b760743b1c27e9e1c6a4dd591ba, cn=SONALI  
BHUSHAN PUNEKAR  
Date: 2022.04.12 19:09:48 +05'30'

Sonali Puneekar  
Company Secretary

**TATA CAPITAL FINANCIAL SERVICES LIMITED**

Corporate Identity Number U67100MH2010PLC210201

11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

Tel 91 22 6606 9000 Web [www.tatacapital.com](http://www.tatacapital.com)

Registered Office 11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2022**

Based on the Information/details provided to us by Tata Capital Financial Services Limited (“the Company”), we hereby certify that in respect of the Debentures issued by the Company in Demat form, no physical debenture certificates were required to be delivered for the year ended 31<sup>st</sup> March, 2022 and for the Debentures issued in physical form, there were no requests for transfer, sub-division, consolidation, renewal or split as the case may be, pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Further, during the financial year 2021-22, one request for rematerialisation of Debenture was received from the investor and accordingly, the rematerialised debenture certificate was delivered within the prescribed time.

Place: Mumbai  
Date: April 09, 2022

Name of Company Secretary: **P.N. Parikh**

For **Parikh & Associates**

**P N**

**Parikh**

Digitally signed  
by P N Parikh  
Date: 2022.04.09  
16:30:30 +05'30'

**FCS: 327 CP: 1228**  
**UDIN: F000327D000039223**



April 12, 2022

National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C/1, 'G' Block,  
Bandra-Kurla Complex,  
Bandra (East)  
Mumbai – 400 051

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Thanking you,

Yours faithfully,

For Tata Capital Financial Services Limited

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BHUSHAN  
PUNEKAR**

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DN: c=IN, o=Personal,  
pseudonym=be516e6c3a6b0f0be79186c3ba8ec  
0aac29dc66395b4615dcbad69a05bd10aee2,  
postalCode=400080, st=MAHARASHTRA,  
serialNumber=46694088e0393978bdf4bebc3a  
c26cf69bb5b760743b1c27e9e1c6a4dd591ba,  
cn=SONALI BHUSHAN PUNEKAR  
Date: 2022.04.12 19:11:37 +05'30'

Sonali Puneekar  
Company Secretary

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Date: April 09, 2022

Name of Company Secretary: **P.N. Parikh**

For **Parikh & Associates**

**P N**

**Parikh**

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by P N Parikh  
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